Information Retrieval

PS Einführung in die Computerlinguistik SE aus Artificial Intelligence

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Um was geht's da jetzt eigentlich?

- Ganz pragmatisch ... es geht ums Auffinden von Texten
- ... kann sein im Sinne von "ich suche was und hätte gerne Hinweise auf Quellen, wo vielleicht was darüber drinnen steht"
 > kommt das bekannt vor?
- ... kann auch sein im Sinne von "ich hab schon etwas, das ganz hilfreich ist, hätte jetzt aber gern mehr dazu"
- ... kann aber auch sein im Sinne von "ich würde jetzt doch ganz gerne wissen, wie dieses Thema in Bezug zu anderen steht"



Basic approach to IR (*)

- Most successful approaches are statistical
 - Directly, or an effort to capture and use probabilities
- What about natural language understanding?
 - i.e. computer "understands" documents and queries
 - difficult in unrestricted domains
 - can be successful in predictable settings
- What about manually assigned headings?
 - e.g. Dewey Decimal Classification
 - human agreement is not good
 - hard to predict which headings are "interesting"
 - expensive
 - (*) Tut mir jetzt echt leid, aber ab und zu wird die eine oder andere Folie in Englisch sein - oder vielleicht wird's auch eher so sein, das ab und zu mal eine Folie in Deutsch vorbeikommt :-)

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Relevant items are similar

Much of information retrieval depends upon the idea that

similar vocabulary => relevant to same queries

or more general

similar vocabularies => similar documents



"Bag of Words"

- An effective and popular approach
- Compares words without regard to order
- Consider reordering words in a headline
 - Random: beating takes points falling another Dow 355
 - Alphabetical: 355 another beating Dow falling points takes
 - "Interesting": Dow points beating falling 355 takes another
- Actual: Dow takes another beating, falling 355 points



Guess what's this about?

- 16 x said, 14 x McDonalds, 12 x fat, 11 x fries,
- 8 x new, 6 x company french nutrition,
- 5 x food oil percent reduce taste Tuesday,
- 4 x amount change health Henstenburg make obesity,
- 3 x acids consumer fatty polyunsaturated US,
- 2 x amounts artery Beemer cholesterol clogging director down eat estimates expert fast formula impact initiative moderate plans restaurant saturated trans win,
- 1 x added addition adults advocate affect afternoon age Americans Asia battling beef bet brand Britt Brook Browns calorie center chain chemically ... crispy customers cut ... vegetable weapon weeks Wendys Wootan worldwide years York



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The (start of the) original text

McDonald's slims down spuds

Fast-food chain to reduce certain types of fat in its french fries with new cooking oil.

NEW YORK (CNN/Money) - McDonald's Corp. is cutting the amount of "bad" fat in its french fries nearly in half, the fast-food chain said Tuesday as it moves to make all its fried menu items healthier.

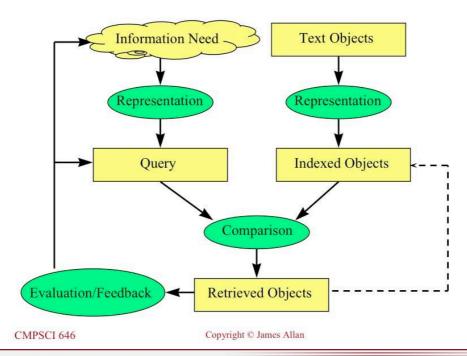
But does that mean the popular shoestring fries won't taste the same? The company says no.

"It's a win-win for our customers because they are getting the same great french-fry taste along with an even healthier nutrition profile," said Mike Roberts, president of McDonald's USA. But others are not so sure. McDonald's will not specifically discuss the kind of oil it plans to use, but at least one nutrition expert says playing with the formula could mean a different taste. Shares of Oak Brook, Ill.-based McDonald's (MCD: down \$0.54 to \$23.22, Research, Estimates) were lower Tuesday afternoon.

[http://money.cnn.com/2002/09/03/news/companies/mcdonalds/index.htm]

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Generic view on IR



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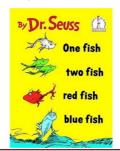
Example: Small document

- D = {one fish, two fish, red fish, blue fish, black fish, blue fish, old fish, new fish}
- len(D) = 16
- P(fish|D) = 8/16 = 0.5
- P(blue|D) = 2/16 = 0.125
- P(one|D) = 1/16 = 0.0625
- ...
- P(eggs|D) = 0/16 = 0



Example: Three small documents

- D1 = {This one, I think, is called a Yink. He likes to wink, he likes to drink.}
- D2 = {He likes to drink, and drink, and drink. The thing he likes to drink is ink.}
- D3 = {The ink he likes to drink is pink. He likes to wink and drink pink ink.}



- Query "drink"
 - P(drink|D1} = 1/16
 - P(drink|D2) = 4/16
 - P(drink|D3) = 2/16
- Query "pink ink"
 - $P(pink ink|D1) = 0 \cdot 0 = 0$
 - $P(pink ink|D2) = 0 \cdot 1/16 = 0$

By Dr. Seuss

One fish

two fish

red fish

blue fish

AVE

- P(pink ink|D3) = 2/16 · 2/16
 ≈ 0.016
- Query "wink drink"
 - P(wink drink|D1) = 1/16 · 1/16 ≈ 0.004
 - P(wink drink|D2) = 0
 - P(wink drink|D3) = 1/16 · 2/16 ≈ 0.008

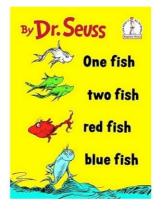


Danke für den Hinweis während des Vortrags!

 Die Stelle ist wohl wirklich aus "One fish, two fish, red fish, blue fish" und nicht wie fälschlicherweise behauptet aus "Green eggs and ham"

- This one, I think, is called a Yink.
- He likes to wink,
- he likes to drink.
- He likes to drink, and drink, and drink.
- The thing he likes to drink is ink.
- The ink he likes to drink is pink.
- He likes to wink and drink pink ink.
- SO...
- if you have a lot of ink,
- then you should get
- a Yink, I think.

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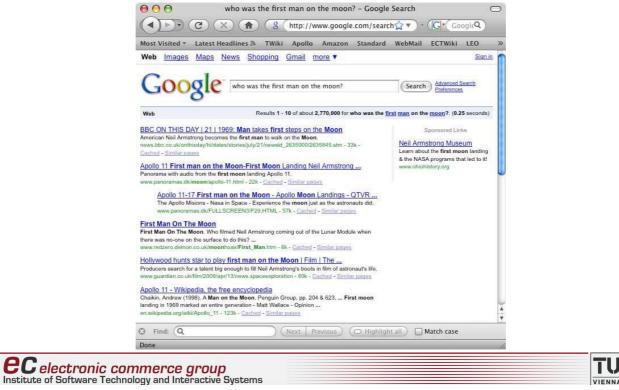
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Basic automatic indexing

- Parse documents to recognize structure
 - e.g. title, date, author, etc
- Scan for word tokens
 - numbers, special characters, hyphenation, capitalization, etc
 - languages like Chinese need segmentation
 - record positional information for proximity operations
- Stopword removal
 - based on short list of common words
 - $\,\circ\,$ e.g. articles, conjunctions (the, and, or, ...)
 - saves storage overhead of very long indexes
 - can be dangerous
 - $\circ\,$ e.g. "to be or not to be", "the who"



Who was the first man on the moon?



Basic automatic indexing

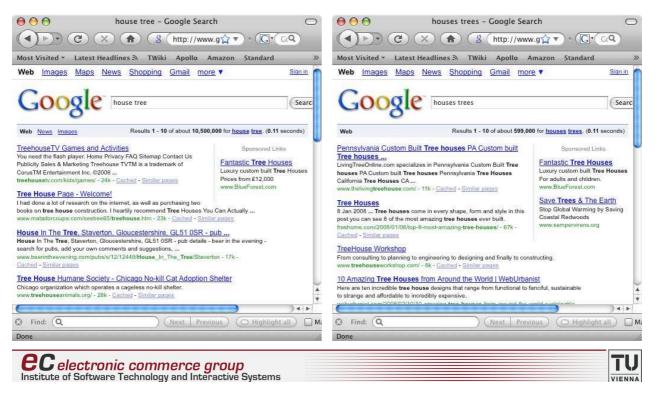
- Stem words
 - morphological processing to group word variants
 o e.g. plural, declinations
 - can make mistakes but generally preferred
 - not done (or done very carefully) by most Web search engines
- Weight words
 - want more "important" words to have higher weight
 - using frequency in documents and database
 - frequency data independent of retrieval model

Optional

- phrase indexing
- thesaurus classes
- ...



house tree vs houses trees



Indexing models

- What makes a term good for indexing?
- What makes an index term good for a query?

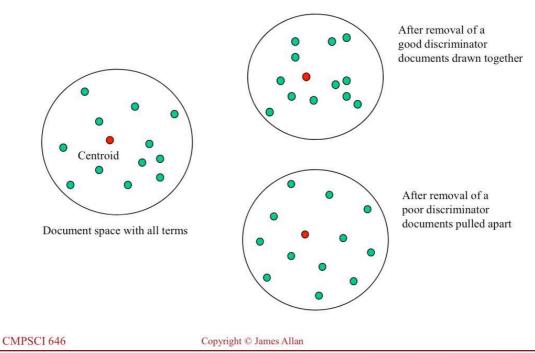


Term discrimination model

- Proposed by Gerard Salton in 1975
- Based on vector space model
 - documents and queries are vectors in an *n*-dimensional space for *n* index terms
- Compute discrimination value of an index term
 - degree to which the use of the term will help to distinguish documents
- Compare average similarity of documents both with and without an index term



Term discrimination model





Some discriminators for 3 collections

Cranfield 424 (aerodynamics)	MED 450 (medical)	Time 425 (news from 1963)		
	Best Discriminators			
panel	marrow	Buddhist		
flutter	Amyloidosis	Diem		
jet	Lymphostasis	Lao		
cone	Hepatitis	Arab		
separate	Hela	Viet		
shell	antigan	Kurd		
yaw	chromosome	Wilson		
nozzle	irradiate	Baath		
transit	tumor	Park		
degree	virus	Nenni		
	Worst Discriminators			
equate	clinic	work		
theo	children	lead		
bound	act	Red		
effect	high	minister		
solution	develop	nation		
method	treat	party		
press	increase	commune		
result	result	U.S.		
number	cell	govern		
flow	patient	new		

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Term frequency (TF)

- Intuition the more often a term occurs in a document, the more important it is in describing that document
- Notation: tf_{ij}, i.e. occurrence frequency of term i in document j
- $W_{ij} = tf_{ij}$
- Pro
 - still simple to realize
- Con
 - "length" of document is not taken into account $tf_{ij} = 15$ obviously has a different quality in a document containing 100 words or a document containing 10,000 words



Normalized term frequency

- We're getting closer :-)
- Normalization factor for term frequency is used
 - e.g. document length (sum of tf_{ij}), or based on maximum term frequency
 - logarithms used to smooth numbers for large collections
- Most simple form

$$W_{ij} = \frac{tf_{ij}}{\sum_{k=1}^{n} tf_{kj}}$$

- Con
 - term distribution statistics for the whole document collection is not taken into account
 - e.g. a term appearing frequently in every document is probably less important than a term appearing only in a small number of documents

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Inverse document frequency (IDF)

- IDF inverse document frequency
- Normalization factor for the characteristics of term distribution in the whole document collection
- Intuition
 - good index terms appear frequently within the document, yet rarely within the collection
 - index terms that appear in many documents of the collection are not overly helpful when trying to discriminate between documents (c.f. term discrimination model)



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TF·IDF

- We're there, at last :-)
- Notation
 - *df_i*, i.e. document frequency of term *i*, number of documents in the collection containing *i*
 - *N*, i.e. number of documents in the collection
- TF (term frequency) and IDF (inverse document frequency) components combined multiplicatively
- Finally, in simple form

$$w_{ij} = \frac{tf_{ij}}{\sum_{k=1}^{n} tf_{kj}} \cdot \log\left(\frac{N}{df_i}\right)$$

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Boolean retrieval model

- A document is represented as a set of keywords (index terms)
- Queries are Boolean expressions of keywords, connected by Boolean operators (AND, OR, NOT), including the use of brackets to indicate scope
 - [[Rio & Brazil] | [Hilo & Hawaii]] & hotel & !Hilton
- A document is relevant or not with respect to a query; no partial matches; no ranking
- Most systems have proximity operators (i.e. describe maximum distance between query keywords in document)
- Most systems support simple regular expressions as search terms to match spelling variants



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It's always there

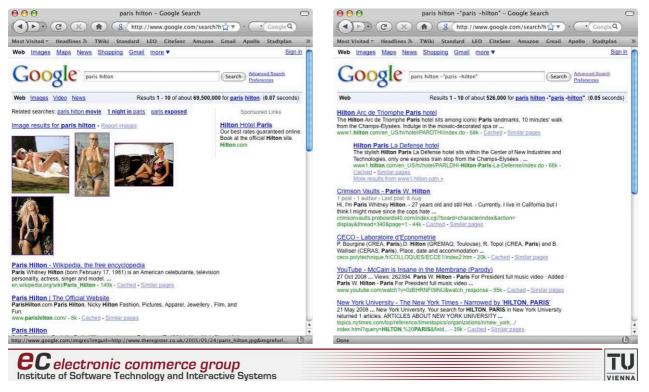




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Find web pages that have		
all these words:	paris hilton	
this exact wording or phrase:		tip
one or more of these words:	OR OR	tip
But don't show pages that hav	e	
any of these unwanted words:	"paris hilton"	tio
Need more tools?		
Results per page:	10 results	
Language:	any language 🛟	
File type:	any format	
Search within a site or domain:		
	(e.g. youtube.com, .edu)	



It makes a difference :-)



Vector space model

- Key idea Everything (documents, queries, terms) is a vector in a high-dimensional space
- Formally A vector space is defined by a set of *linearly independent* basis vectors
- Basis vectors
 - correspond to dimensions or directions in the vector space
 - determine what can be describes in the vector space
 - must be orthogonal, or linearly independent, i.e. a value along one dimension implies nothing about a value along another dimension



Vector space model

- Assume t distinct terms remain after indexing, i.e. index terms, vocabulary
- These "orthogonal" terms form a t-dimensional vector space
 - t = | vocabulary |
- Each term *i* in a document (or query) *j* is given a realvalued weight w_{ij}

• e.g. tf·idf, $w_{ij} = (1 + \log tf_{ij}) \log_{10}(N / df_i)$

 Both documents and queries are expressed as t-dimensional vectors

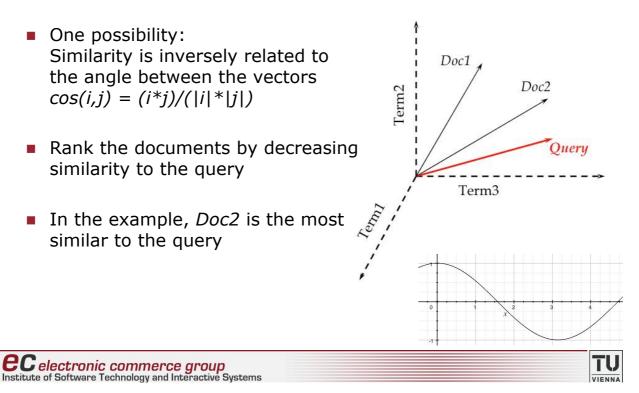
 $d_j = (w_{1j}, w_{2j}, \dots, w_{tj})$

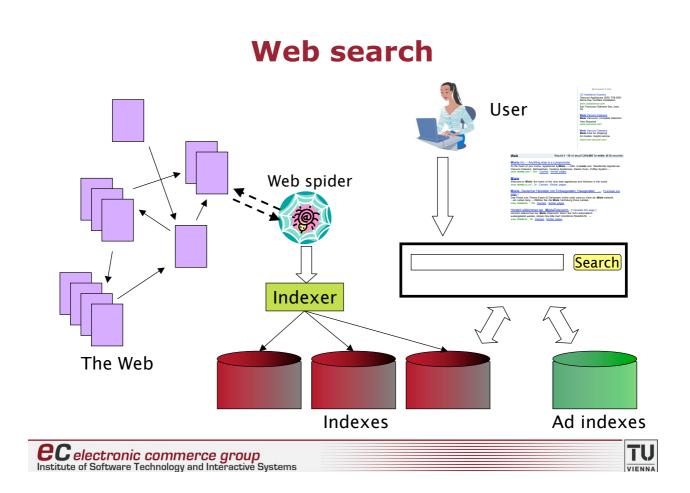
i.e. a document (query) is represented as the sum of its term vectors

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Vector space similarity





User Needs

- Informational want to learn about something (~40%)
 - e.g. moose
- Navigational want to go to that page (~25%)
 - e.g. Kunsthistorisches Museum Wien
- Transactional want to do something web-mediated (~35%)
 - like access a service Sydney weather
 - downloads games for the Palm Centro
 - shop Nikon D60
- Gray areas
 - find a good hub Car rental Lisbon
 - exploratory search "see what's out there"



Web search users ...

- ... make ill defined queries
 - short
 - o 2001: avg 2.54 terms, 80% < 3 words
 - 1998: avg 2.35 terms, 88% < 3 words
 - imprecise terms
 - sub-optimal syntax (most queries without operator)
 - low effort
- … have wide variance in
 - needs
 - expectations
 - knowledge
 - bandwidth

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Web search users ...

- ... show specific behavior
 - 85% look over one result screen only (mostly above the fold, i.e. don't even scroll!!!)
 - 87% of queries are not modified i.e. one query per session
 - follow links "the scent of information"
- ... don't behave as classical IR would assume



Answering "the need behind the query"

Semantic analysis

- Query language determination
 - o auto filtering
 - o different ranking (if query in German do not return English)
- Hard & soft (partial) matches
 - o personalities (triggered on names)
 - o cities (travel info, maps)
 - o medical info (triggered on names and/or results)
 - \circ stock quotes, news (triggered on stock symbol)
 - o company info

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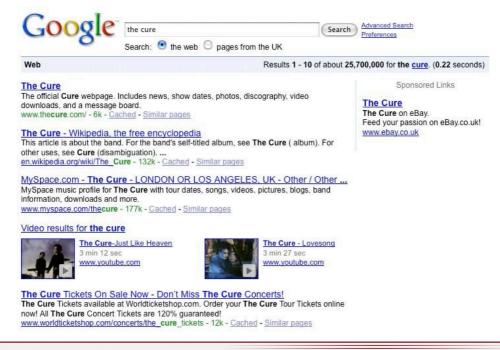
Integration of search and text analysis

Language detection - google.cz

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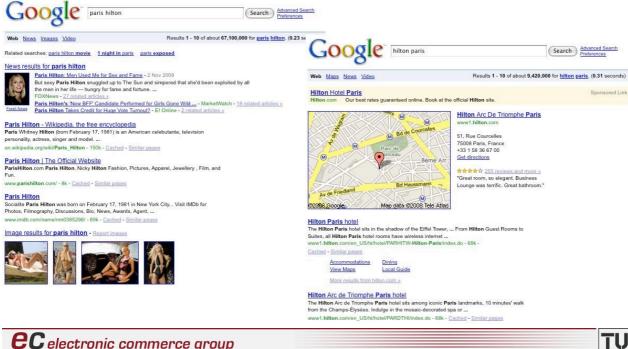


"Personalities" - google.co.uk

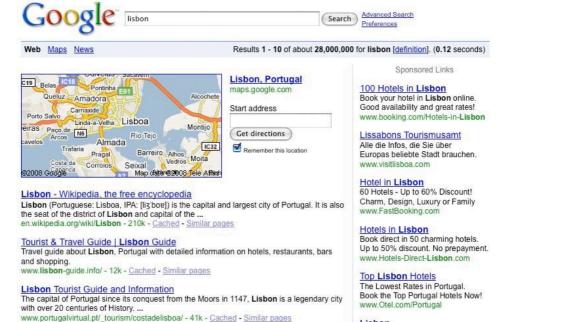


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paris hilton vs hilton paris google.com



Cities - google.com



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Shopping - google.at

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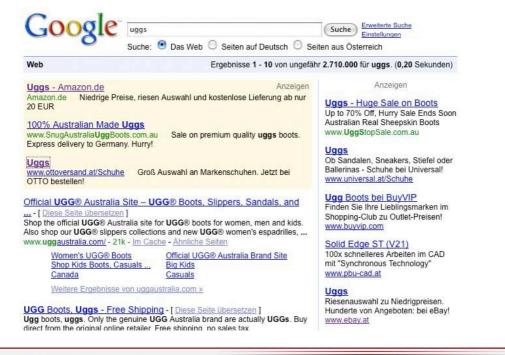
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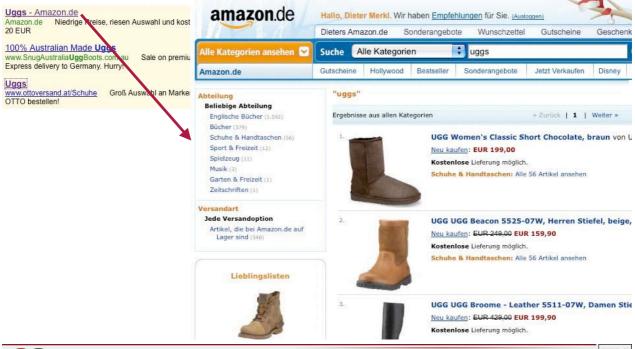
Bücher bei Thalia.at

Context transfer - google.at

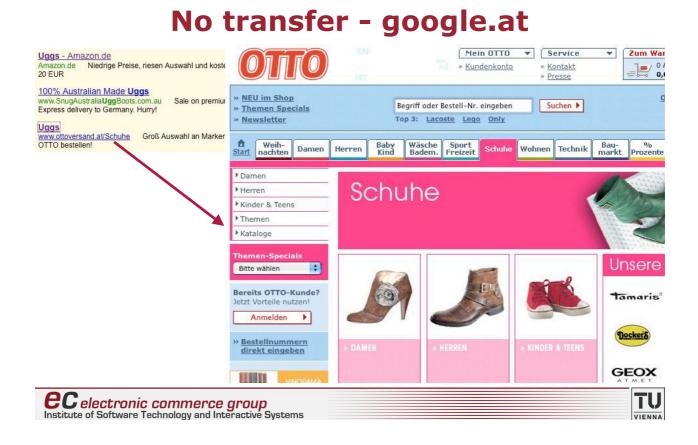


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Context transfer - google.at







Where to go from here?

- Text mining
- Concept discovery



- Ontology enhancement
 - clustering of domain-related terms occurring in freeform text descriptions according to their similarity (twodimensional map display)
 - extraction of words/concepts from free-form text descriptions that are important for specific geographic regions



Text mining - Ontology





- very different styles, texts are written by the accommodation providers themselves
- accommodation descriptions are dominated by enumerations of services and facilities
- semantically similar words are located close to each other regarding their position in the text
- similar structure can be found in other product descriptions



Text mining - Ontology

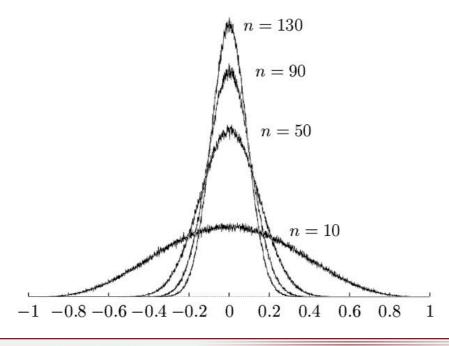
- Preprocessing
- remove words other than nouns and proper names to avoid primary clustering according to word classes
 - select words starting with capital letter in german texts
 - part-of-speech taggers possible for other languages



- Random Mapping
- "true" independence of vector representation is computationally not feasible
- assign *n*-dimensional random vector to each word (n=90)
- random values of vector components are drawn from a uniform distribution => quasi-orthogonal vectors
- sufficient independence of vectors to avoid unwanted distortions



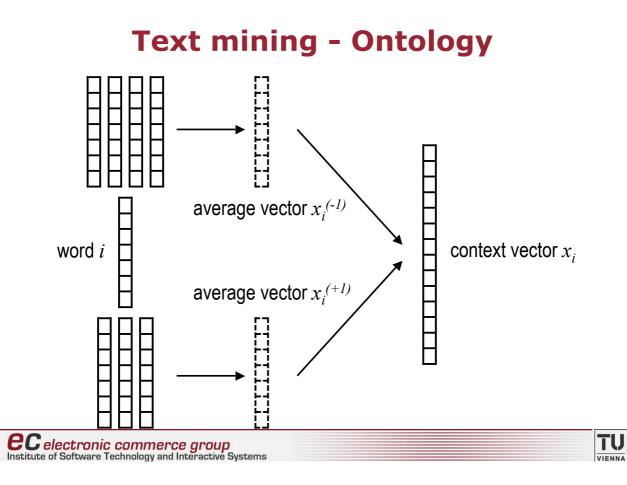
Text mining - Ontology



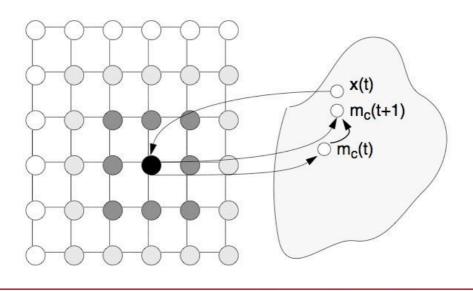


- a list of terms at different displacements is created for each word (e.g. all directly preceding terms at position -1)
- average vectors are calculated => average context
- average context vectors are concatenated to create a vector description of a word determined by its surrounding words
- example: Skifahren
 - words at displacement -1: Langlaufen, Rodeln, Pulverschnee, Winter, ...

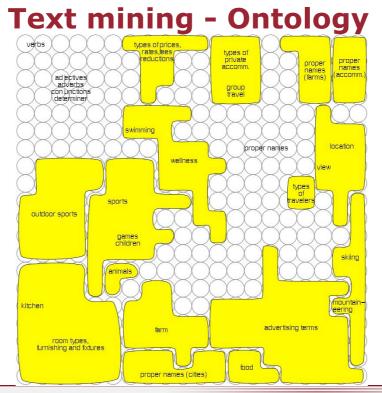




Self-organizing map



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Detail - lower left corner

toilette	kuechenblock	kochnische	bad
suedbalkon	essecke	wanne	stockbett
wohnbereich	wohnkueche	sofa	doppelzimmern
diele	couch	badewanne	doppelbettzimmer
elektroheizung	schlafgelegenheit	waschraum	dusche
garderobe	ausziehcouch	doppelbett	schlafraeume
doppelwaschbecken	vorraum	schlafmoeglichkeiten	zimmerausstattung
wohnkuechen	stockbetten	hotelzimmer	dreibettzimmer
WC	kuechenzeile	essraum	wohnschlafraum
bidet	wohnzimmer	kochecke	schlafzimmer
	essplatz	duschen	zimmer
	esszimmer	kinderzimmer	fliesswasser
	doppelcouch	schlafraum	einbettzimmer
	wohnraum	wohnschlafzimmer	komfortzimmer
	flur	badezimmer	doppelschlafzimmer
		wohnstube	schlafraeumen
			gaestezimmer

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Text mining - Ontology

- stunning diversity of terms describing very similar concepts
- example: terms describing recreational facilities having in common that the vacationer sojourns in a closed room with well-tempered atmosphere:
 - Sauna, Tepidarium, Biosauna, Kräutersauna, Finnische Sauna, Dampfsauna, Dampfbad, Thermarium, Infrarotkabine, ...



Text mining - Geography

- rank terms according to their importance for a specific geographic region
- based on occurrence frequencies in text documents
- different granularities
 - federal state
 - region
 - city
 - ...



Text mining - Geography

- *rf_{ik}* ... number of documents related to a region *k* where term *i* occurs
- N_k ... number of documents related to a region k

$$w_{ik} = \frac{rf_{ik}}{N_k} \times \frac{1}{\sum_{l} \frac{rf_{il}}{N_l}}$$



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Text mining - Geography

- *w_{ik}*=1, if term *i* occurs only in documents of region *k* and nowhere else
- if $w_{ik} < 1$:
 - w_{ik} as well as the standard deviation of a term's weights indicates its distribution and can be used as a measure for ranking
 - stop words (and, the, ...) and general terms (urlaub, gast, ...) are evenly distributed => low standard deviation



Text mining - Geography

Example - Vienna

rank	term	rank	term	rank	term	
1	stephansdom	11	mariahilferstraße	21	biedermeierstil	
2	ringstraße	12	einkaufsstraßen	22	westbahnhofes	
3	staatsoper	13	burgtheater	23	walzer	
4	stephansplatz	14	14 air		vollklimatisierten	
5	mariahilfer	15	15 u-bahnstation		uno	
6	westbahnhof	16	riesenrad	26	spittelberg	
7	schönbrunn	17	raimundtheater	27	parlament	
8	ringstrasse	18	3 kärntnerstraße		opernkarten	
9	prater	19	9 donauinsel		altwiener	
10	wien-aufenthalt	20	20 museumsquartier		wienerberg	

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Text mining - Geography

Example - Crossing borders

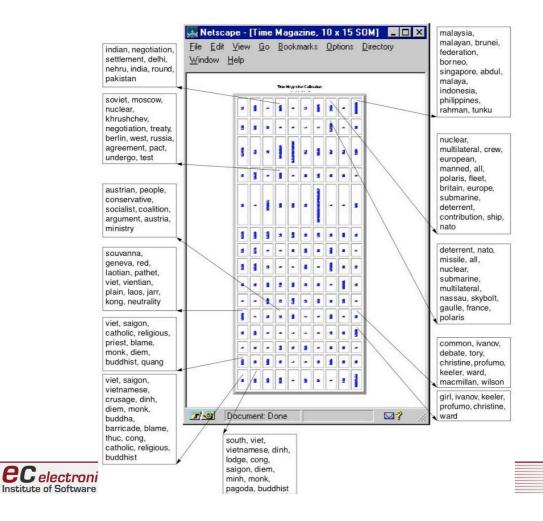
Terms	Federal States								
	Vie	Low. A	Upp. A	St	Bgl	Sbg	Car	Tyr	Vbg
Salzkammergut	0	0	0.8	0.14	0	0.06	0	0	0
Salzkammergutes	0	0	0.76	0.11	0	0.13	0	0	0
Salzkammergutseen	0	0	0.89	0	0	0.11	0	0	0
Arlberg	0	0	0	0	0	0	0	0.11	0.89
Arlberger	0	0	0	0	0	0	0	0.15	0.85
Arlbergs	0	0	0	0	0	0	0	0.02	0.98
Thermenland	0	0	0	0.88	0.12	0	0	0	0
Thermenregion	0	0.13	0.16	0.35	0.36	0	0	0	0
Thermenhotel	0	0	0.2	0.62	0.18	0	0	0	0
electronic comme	erce a	roun							

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Text mining - Clustering

- Goal: Grouping of "similar" documents, i.e. documents covering a "similar" topic
- "Bag of Words" approach for indexing
- tf*idf term weights
- Self-organizing map for clustering
- Results in a "map" of the document space
 -> "similar" documents are shown in spatial proximity on the map
- Examples
 - TIME articles from the 1960s
 - Country descriptions from the CIA World Factbook







Christmas Island Cocos Islands	Norfolk Island Saint Pierre No	Guam A		Marshall IslandsP Micronesia Palau		ea Tonga s Western Samoa	Sao Tome	Chad Mali Niger	Burundi Rwanda Uganda
Cook Islands Niue Tokelau Tuvalu	Wallis	Guadeloupe Martinique	Aruba Puerto Rico Virgin Islands	Antigua Grenada Saint Kitts Saint Lucia Saint Vincent	Kiribati Mauritius Nauru Seychelles Vanuatu	Comoros Maldives	Cape Verde Djibouti C Equatorial Guinea	Burkina Faso Central African Rep Guinea Guinea Bissau Africa	Gambia . Sierra Leone
Anguilla Falkland Islands Saint Helena	Mayotte New Caledonia Islan	French Guiana French Polynesia Ids	Hong Kong Netherlands	Barbados	Belize	Bhutan Nepal	Angola Madagascar Mozambique Nigeria	Botswana Lesotho Malawi Swaziland Zambia Zimbabwe	Senegal
British Virgin Islands Montserrat Pitcairn Islands Turks Islands	Guernsey Jersey	Macau Reunion	Malta	Bahamas Jamaica	Guyana Suriname Trinidad	Afghanistan Cambodia Laos	Namibia	Kenya Tanzania	Cameroon Gabon Ghana
Bermuda Cayman Islands Gibraltar Isle of Man	Faroe Islands	Andorra San Marino Vatican Europ. Small Sta	South Africa	India Pakistan	Bangladesh Burma Thailand	Brunei Cyprus Fiji Liberia	Ethiopia Somalia	Mauritania Zaire	Benin Congo Ivory Coast Togo
South Georgia Svalbard World	Gaza Strip West Bank	Liechtenstein Luxembourg Monaco	italy Western F	Greece Ireland Turkey	Singapore South Korea Sri Lanka	Iran Vietnam	Bahrain Kuweit Oman Qatar South Yemen Inited Arab Emirate	Lebanon North Yemen Saudi Arabia Aral	Libya o States
Jan Mayon Irac	-SA Neut. Zone	lceland Norway G	Austria Belgium France German Fed. Rep Spain Switzerland	Canada Portugal United Kingdom	Australia New Zealand	China Taiwan	Sudan	Israel Jordan Syria	Algeria Morocco Tunisia
Ashmore Islands Coral Sea Islands Heard Island			Denmark Finland Sweden	Japan	United States	Soviet Union Yugoslavia	Egypt	Indonesia Malaysia Philippines	Honduras
Bassas da India Clipperton Island Europa Island Glorioso Islands Juan de Nova Island Tromelin Island	Navassa Island Islan	Wake Island		Antarctica	Albania	Mongolia North Korea	Panama Latin A	Paraguay Venezuela	Costa Rica El Salvador Guatemala Haiti Mexico Nicaragua
Bouvet Island French Antarctic Land	Baker Island s Howland Isl. Jarvis Island Kingman Reef Palmyra Atoll	Johnston Atoll / Midway Islands	Arctic Ocean Atlantic Ocean Occ	Indian Ocean Pacific Ocean Pans		Bulgaria Czechoslovakia German Dem. Rep Hungary Poland Romania	Cuba	Bolivia Peru	Argentina Brazil Chile Colombia Dominican Rep. / Ecuador Uruguay

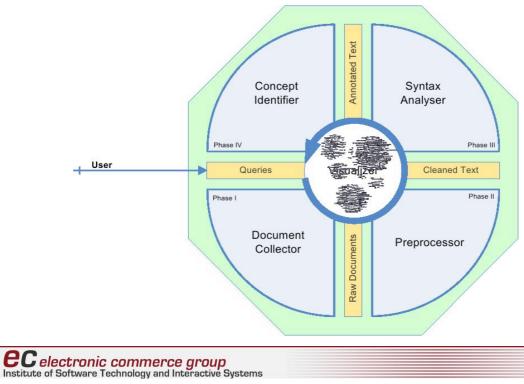




Concept discovery

- Motivation: create research instrument that
 - transcends traditional, keyword-based search engines by uncovering different (context-sensitive) meanings of concepts and their relations to other concepts
 - uses the Web as information source being independent of manually created annotations
- 4-phase process, 2 iterations
- current prototype uses Google, Altavista, Yahoo!
- is-a relations







Concept discovery - Iteration 1

Document Collector (Phase I)

- initial query term(s) provided by user e.g. Multiple Sclerosis
- creation of search engine-dependent queries
 e.g. google: "Multiple Sclerosis is (a OR an OR the)"
- send queries to search engines
- collect lists of URLs and merge
- retrieve documents

that's important to find relations describing *what* something is rather than *how*

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Concept discovery - Iteration 1

Preprocessor (Phase II)

- cleaning of documents, conversion to plain text (currently PDF, RTF, HTML)
- HTML: improve punctuation based on tags



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Concept discovery - Iteration 1

Syntax Analyzer (Phase III)

- sentence splitter
- selection of relevant (matching) sentences
- Part-of-Speech tagging and noun phrase chunking



Concept discovery - Iteration 1

Concept Identifier (Phase IV)

- select first noun phrase after verb
- add concept to graph, if not already present



Concept discovery - Iteration 2

- for each identified concept from the first iteration, apply Phase I-IV with two important differences:
 - query generation in phase I: "is (a OR an OR the) <concept name>"
 - concept selection in Phase IV: select first noun phrase before the verb

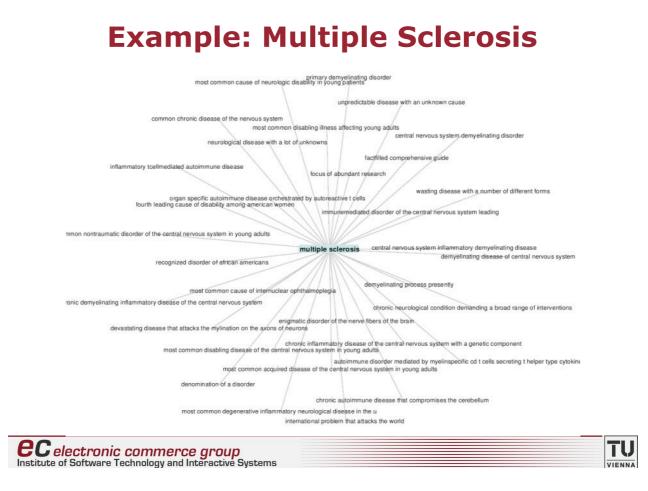


Concept discovery

- Example: Microsoft Windows
- Iteration 1
 - "Microsoft Windows is (a OR an OR the)"
 - Result of Iteration 1: e.g. operating system
- Iteration 2
 - "is (a OR an OR the) operating system"
 - Result of Iteration 2: e.g. Linux, MacOS, Plan 9, CentOS, ...



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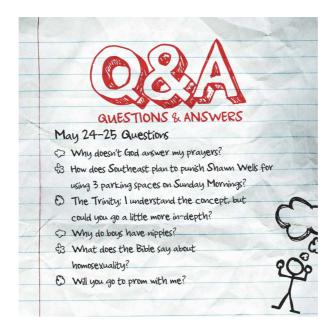
Interesse noch nicht komplett vergangen?

- Zwei recht dicke und ganz feine Bücher (mehr oder weniger) zum Thema (natürlich viel ausführlicher)
- C. D. Manning & H. Schütze: Foundations of Statistical Natural Language Processing. MIT Press. Cambridge, MA. 2000.
- C. D. Manning, P. Raghavan, H. Schütze: Introduction to Information Retrieval. Cambridge University Press. New York, NY. 2008.

Available online at http://www.informationretrieval.org/



Gibt's Fragen?



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Remember, we live in a world of digital divide :-(



